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AUDITING AND GOVERNANCE RISK AND COMPLIANCE | AGRC-046

Sanctions Compliance and Screening

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Course content

Why Attend

Economic and trade sanctions have become one of the most dynamic and high-risk areas of compliance. Sanctions regimes change quickly, apply across borders and can affect payments, trade, shipping, investments and ordinary commercial relationships. Breaches can result in heavy penalties, loss of banking relationships and serious reputational harm.

This Sanctions Compliance and Screening training course explains how sanctions work and how organisations can build an effective, risk-based sanctions compliance programme. Participants learn about the main types of sanctions, ownership and control rules, screening of customers, counterparties and transactions, alert investigation, and the handling of potential matches and breaches.

Course Methodology

• The course uses real-world style case studies, screening alerts and transaction scenarios. Participants practise alert adjudication, ownership analysis and red flag identification, and design elements of a sanctions programme. Discussions examine recent enforcement themes and how organisations have improved their controls.

Course Objectives

• Explain the purpose and main types of economic and trade sanctions

• Understand the key international and national sanctions regimes in overview

• Apply ownership and control principles to counterparties

• Design a risk-based sanctions compliance programme

• Screen customers, counterparties and transactions effectively

• Investigate and resolve screening alerts consistently

Target Audience

• Compliance and sanctions officers

• Anti-money laundering professionals

• Trade finance and payments operations staff

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Course content

- Export control and logistics professionals

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Course outline

Detailed course outline

Day-by-day outline for Sanctions Compliance and Screening.

Day 1 - Understanding Sanctions

- 09:00-09:39 | The purpose of sanctions and how they are imposed
- 09:39-10:18 | Asset freezes, sectoral sanctions, trade and travel restrictions
- 10:18-10:57 | Overview of major international and national sanctions regimes
- 10:57-11:00 | Extraterritorial reach and secondary sanctions
- 11:00-11:30 | Coffee break
- 11:30-12:06 | Extraterritorial reach and secondary sanctions (continued)
- 12:06-12:44 | Licences, exemptions and general authorisations
- 12:44-13:22 | Recent trends and lessons from enforcement actions
- 13:22-14:00 | Quiz: identifying sanctions exposure in business scenarios

Day 2 - Building a Sanctions Compliance Programme

- 09:00-09:34 | Management commitment, policy and governance
- 09:34-10:08 | Conducting a sanctions risk assessment
- 10:08-10:42 | Customer and counterparty risk factors
- 10:42-11:00 | Product, service, channel and geographic risks
- 11:00-11:30 | Coffee break
- 11:30-11:46 | Product, service, channel and geographic risks (continued)
- 11:46-12:20 | Roles, training and escalation arrangements
- 12:20-12:53 | Record keeping and documentation requirements
- 12:53-14:00 | Workshop: drafting a sanctions risk assessment

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Day 3 - Screening Customers and Counterparties

- 09:00-09:34 | Screening lists, data sources and update frequency
- 09:34-10:08 | Name matching, fuzzy logic and transliteration challenges
- 10:08-10:42 | Ownership and control rules and aggregated holdings
- 10:42-11:00 | Onboarding and periodic rescreening
- 11:00-11:30 | Coffee break
- 11:30-11:46 | Onboarding and periodic rescreening (continued)
- 11:46-12:20 | Tuning screening systems to reduce false positives
- 12:20-12:53 | Testing and validating screening effectiveness
- 12:53-14:00 | Exercise: analysing ownership structures for sanctions risk

Day 4 - Transaction Screening and Alert Investigation

- 09:00-09:34 | Payment and trade transaction screening processes
- 09:34-10:08 | Investigating alerts and distinguishing true from false matches
- 10:08-10:42 | Documenting decisions and maintaining an audit trail
- 10:42-11:00 | Escalating and blocking or rejecting transactions
- 11:00-11:30 | Coffee break
- 11:30-11:46 | Escalating and blocking or rejecting transactions (continued)
- 11:46-12:20 | Shipping, vessel and port-related sanctions risks
- 12:20-12:53 | Dual-use goods and export control interactions
- 12:53-14:00 | Exercise: adjudicating a set of screening alerts

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Day 5 - Evasion, Breaches and Programme Assurance

- 09:00-09:39 | Common sanctions evasion techniques and red flags
- 09:39-10:18 | Use of front companies, intermediaries and transshipment
- 10:18-10:57 | Responding to a potential sanctions breach
- 10:57-11:00 | Voluntary disclosure and regulatory reporting considerations
- 11:00-11:30 | Coffee break
- 11:30-12:06 | Voluntary disclosure and regulatory reporting considerations (continued)
- 12:06-12:44 | Independent testing and internal audit of sanctions controls
- 12:44-13:22 | Keeping the programme current as regimes change
- 13:22-14:00 | Personal action plan for sanctions compliance

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Seminar dates

Available seminar dates

Live dates and pricing for Sanctions Compliance and Screening generated from the course details page.

Date	Location	Format	Fee
7 - 11 December 2026	London	Classroom	**€2,915**
14 - 18 December 2026	Barcelona	Classroom	**€2,275**
21 - 25 December 2026	Frankfurt	Classroom	**€2,175**
4 - 8 January 2027	London	Classroom	**€2,915**
11 - 15 January 2027	Barcelona	Classroom	**€2,275**
18 - 22 January 2027	Frankfurt	Classroom	**€2,175**
25 - 29 January 2027	Paris	Classroom	**€2,810**
8 - 12 February 2027	London	Classroom	**€2,915**
15 - 19 February 2027	Barcelona	Classroom	**€2,275**
22 - 26 February 2027	Frankfurt	Classroom	**€2,175**

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Seminar dates

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Live dates and pricing for Sanctions Compliance and Screening generated from the course details page.

Date	Location	Format	Fee
1 - 5 February 2027	Rome	Classroom	**€2,830**
15 - 19 March 2027	London	Classroom	**€2,915**
22 - 26 March 2027	Barcelona	Classroom	**€2,275**
1 - 5 March 2027	Frankfurt	Classroom	**€2,175**
8 - 12 March 2027	Vienna	Classroom	**€3,425**
26 - 30 April 2027	London	Classroom	**€2,915**
5 - 9 April 2027	Barcelona	Classroom	**€2,275**
12 - 16 April 2027	Frankfurt	Classroom	**€2,175**
19 - 23 April 2027	Amsterdam	Classroom	**€3,215**
3 - 7 May 2027	London	Classroom	**€2,915**

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Seminar dates

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Date	Location	Format	Fee
10 - 14 May 2027	Barcelona	Classroom	**€2,275**
17 - 21 May 2027	Frankfurt	Classroom	**€2,175**
24 - 28 May 2027	Cape Town	Classroom	**€1,825**
7 - 11 June 2027	London	Classroom	**€2,915**
14 - 18 June 2027	Barcelona	Classroom	**€2,275**
21 - 25 June 2027	Frankfurt	Classroom	**€2,175**
19 - 23 July 2027	London	Classroom	**€2,915**
26 - 30 July 2027	Barcelona	Classroom	**€2,275**
5 - 9 July 2027	Frankfurt	Classroom	**€2,175**
12 - 16 July 2027	Geneva	Classroom	**€3,315**

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Seminar dates

Available seminar dates

Live dates and pricing for Sanctions Compliance and Screening generated from the course details page.

Date	Location	Format	Fee
23 - 27 August 2027	London	Classroom	**€2,915**
2 - 6 August 2027	Barcelona	Classroom	**€2,275**
9 - 13 August 2027	Frankfurt	Classroom	**€2,175**
16 - 20 August 2027	Istanbul	Classroom	**€2,825**
6 - 10 September 2027	London	Classroom	**€2,915**
13 - 17 September 2027	Barcelona	Classroom	**€2,275**
20 - 24 September 2027	Frankfurt	Classroom	**€2,175**
11 - 15 October 2027	London	Classroom	**€2,915**
18 - 22 October 2027	Barcelona	Classroom	**€2,275**
25 - 29 October 2027	Frankfurt	Classroom	**€2,175**

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Seminar dates

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Date	Location	Format	Fee
4 - 8 October 2027	Madrid	Classroom	**€3,400**
15 - 19 November 2027	London	Classroom	**€2,915**
22 - 26 November 2027	Barcelona	Classroom	**€2,275**
1 - 5 November 2027	Frankfurt	Classroom	**€2,175**
8 - 12 November 2027	Munich	Classroom	**€2,425**
27 - 31 December 2027	London	Classroom	**€2,915**
6 - 10 December 2027	Barcelona	Classroom	**€2,275**
13 - 17 December 2027	Frankfurt	Classroom	**€2,175**
20 - 24 December 2027	Paris	Classroom	**€2,810**
7 - 11 December 2026	Online / Live	Online / Live	**€1,625**

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Live dates and pricing for Sanctions Compliance and Screening generated from the course details page.

Date	Location	Format	Fee
14 - 18 December 2026	Online / Live	Online / Live	**€1,625**
21 - 25 December 2026	Online / Live	Online / Live	**€1,625**
4 - 8 January 2027	Online / Live	Online / Live	**€1,625**
11 - 15 January 2027	Online / Live	Online / Live	**€1,625**
18 - 22 January 2027	Online / Live	Online / Live	**€1,625**
25 - 29 January 2027	Online / Live	Online / Live	**€1,625**
8 - 12 February 2027	Online / Live	Online / Live	**€1,625**
15 - 19 February 2027	Online / Live	Online / Live	**€1,625**
22 - 26 February 2027	Online / Live	Online / Live	**€1,625**
1 - 5 February 2027	Online / Live	Online / Live	**€1,625**

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Date	Location	Format	Fee
15 - 19 March 2027	Online / Live	Online / Live	**€1,625**
22 - 26 March 2027	Online / Live	Online / Live	**€1,625**
1 - 5 March 2027	Online / Live	Online / Live	**€1,625**
8 - 12 March 2027	Online / Live	Online / Live	**€1,625**
26 - 30 April 2027	Online / Live	Online / Live	**€1,625**
5 - 9 April 2027	Online / Live	Online / Live	**€1,625**
12 - 16 April 2027	Online / Live	Online / Live	**€1,625**
19 - 23 April 2027	Online / Live	Online / Live	**€1,625**
3 - 7 May 2027	Online / Live	Online / Live	**€1,625**
10 - 14 May 2027	Online / Live	Online / Live	**€1,625**

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Date	Location	Format	Fee
17 - 21 May 2027	Online / Live	Online / Live	**€1,625**
24 - 28 May 2027	Online / Live	Online / Live	**€1,625**
7 - 11 June 2027	Online / Live	Online / Live	**€1,625**
14 - 18 June 2027	Online / Live	Online / Live	**€1,625**
21 - 25 June 2027	Online / Live	Online / Live	**€1,625**
19 - 23 July 2027	Online / Live	Online / Live	**€1,625**
26 - 30 July 2027	Online / Live	Online / Live	**€1,625**
5 - 9 July 2027	Online / Live	Online / Live	**€1,625**
12 - 16 July 2027	Online / Live	Online / Live	**€1,625**
23 - 27 August 2027	Online / Live	Online / Live	**€1,625**

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Date	Location	Format	Fee
2 - 6 August 2027	Online / Live	Online / Live	**€1,625**
9 - 13 August 2027	Online / Live	Online / Live	**€1,625**
16 - 20 August 2027	Online / Live	Online / Live	**€1,625**
6 - 10 September 2027	Online / Live	Online / Live	**€1,625**
13 - 17 September 2027	Online / Live	Online / Live	**€1,625**
20 - 24 September 2027	Online / Live	Online / Live	**€1,625**
11 - 15 October 2027	Online / Live	Online / Live	**€1,625**
18 - 22 October 2027	Online / Live	Online / Live	**€1,625**
25 - 29 October 2027	Online / Live	Online / Live	**€1,625**
4 - 8 October 2027	Online / Live	Online / Live	**€1,625**

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15 - 19 November 2027	Online / Live	Online / Live	**€1,625**
22 - 26 November 2027	Online / Live	Online / Live	**€1,625**
1 - 5 November 2027	Online / Live	Online / Live	**€1,625**
8 - 12 November 2027	Online / Live	Online / Live	**€1,625**
27 - 31 December 2027	Online / Live	Online / Live	**€1,625**
6 - 10 December 2027	Online / Live	Online / Live	**€1,625**
13 - 17 December 2027	Online / Live	Online / Live	**€1,625**
20 - 24 December 2027	Online / Live	Online / Live	**€1,625**