

aractech

Global Learning for Operational Leaders



GOVERNMENT AND PUBLIC SECTOR | GPS-009

Governance of Regulators

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Course content

Why Attend

Governance is a critical topic that has been attracting serious attention for two decades in business schools and among legislatures, with this trend only increasing. While there are different types of regulators, they all aim to govern and deal with stakeholders. This course covers regulators' independence, decision-making, accountability, inspection and audit, and monitoring and enforcing regulations. It also explains the different types of regulators and different regulators' structures and authorities. Other topics discussed are the role of regulators and the required transparency.

Course Methodology

- The course is built on presentations by the instructor and the participants and includes exercises and case studies to be discussed during the course.

Course Objectives

- Explain the different types of regulators and the regulators' principles
- Examine the independence of different regulators
- Appraise decision-making and accountability practices by regulators
- Evaluate transparency and efficiency of regulators
- Support monitoring and enforcement of regulations

Target Audience

- Board members, chief financial officers, senior management, directors, finance managers, financial controllers, accounting and finance personnel, legal counsel, corporate legal advisors, corporate secretaries, lawyers, external and internal auditors, HR managers, and department heads. All employees and managers of regulators like capital market authorities, central banks and other governmental and non-governmental authorities and agencies.

- Target Competencies
- Structuring of regulators
- Analyzing regulator efficiency

Course outline

Detailed course outline

Module-by-module outline for Governance of Regulators.

Module 1 - Regulators' Types and Principles

- Regulators' types
- International bodies
- Ministries
- Authorities and agencies
- Self-regulatory organizations
- Regulators' principles

Module 2 - Regulators' Independence

- Regulators' funding
- Regulators' independence
- Special interest groups
- Semi-governmental regulator
- Self-regulatory organizations
- Decreasing the influence of special interest groups

Course outline

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Module 3 - Decision-Making and Accountability

- Decision-making
- Regulator structure
- Authority and decisions
- Accountability
- Engaging stakeholders in decisions
- Engaging related authorities

Module 4 - Transparency and Evaluation

- Transparency required
- Governmental or semi-governmental regulators
- Nongovernmental regulators
- Evaluation of efficiency
- Financial performance
- Nonfinancial performance

Course outline

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Module 5 - Monitoring and Enforcement of Regulations

- Monitoring regulated entities
- Monitoring implementation of requirements
- Monitoring operational and financial results
- Monitoring whistle-blowing and complaints
- Auditing and inspecting
- Deviations

Seminar dates

Available seminar dates

Live dates and pricing for Governance of Regulators generated from the course details page.

Date	Location	Format	Fee
11 - 15 May 2026	Vienna	Classroom	€2,975
8 - 12 June 2026	Barcelona	Classroom	€2,695
6 - 10 July 2026	Paris	Classroom	€3,150
10 - 14 August 2026	Frankfurt	Classroom	€2,275
14 - 18 September 2026	Barcelona	Classroom	€2,695
5 - 9 October 2026	Frankfurt	Classroom	€2,275
16 - 20 November 2026	Rome	Classroom	€2,975

Live online option

Online delivery is available at €1,250.