



AUDITING AND GOVERNANCE RISK AND COMPLIANCE | AGRC-023

Compliance Management Professional

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Course content

Why Attend

Regulatory obligations keep expanding across data protection, financial crime, sustainability, competition, health and safety and sector-specific rules. Organisations need compliance professionals who can turn obligations into practical controls and a culture of integrity.

This Aractech course covers the full compliance management cycle: identifying obligations, compliance risk assessment, policies and controls, training and communication, monitoring and testing, investigations, reporting to boards and evaluating programme effectiveness, aligned with ISO 37301 principles. Participants build key elements of a compliance management system.

Course Methodology

- Framework presentations
- Obligation mapping workshop
- Risk assessment exercises
- Case studies of compliance failures
- Programme design activities

Course Objectives

- Explain compliance management principles and ISO 37301
- Identify and register compliance obligations
- Conduct compliance risk assessments
- Design policies, controls, training and communication
- Monitor, test and investigate compliance
- Report to the board and evaluate programme effectiveness

Course content

Target Audience

- Compliance officers and managers
- Legal and risk professionals
- Internal auditors
- Governance professionals

Course outline

Detailed course outline

Day-by-day outline for Compliance Management Professional.

Day 1 - Compliance Management Foundations

- 09:00-09:39 | Role of compliance
- 09:39-10:18 | Regulatory landscape
- 10:18-10:57 | ISO 37301 overview
- 10:57-11:00 | Three lines model
- 11:00-11:30 | Coffee break
- 11:30-12:05 | Three lines model (continued)
- 12:05-12:43 | Compliance culture
- 12:43-14:00 | Exercise: Programme maturity review

Day 2 - Obligations and Risk

- 09:00-09:39 | Identifying obligations
- 09:39-10:18 | Compliance registers
- 10:18-10:57 | Risk assessment methods
- 10:57-11:00 | Prioritisation
- 11:00-11:30 | Coffee break
- 11:30-12:05 | Prioritisation (continued)
- 12:05-12:43 | Ownership
- 12:43-14:00 | Workshop: Compliance risk assessment

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Day 3 - Controls, Training and Communication

- 09:00-09:34 | Policies and procedures
- 09:34-10:41 | Control design
- 10:41-11:00 | Training programmes
- 11:00-11:30 | Coffee break
- 11:30-11:45 | Training programmes (continued)
- 11:45-12:19 | Communication
- 12:19-12:53 | Third parties
- 12:53-14:00 | Exercise: Control design

Day 4 - Monitoring and Investigations

- 09:00-09:39 | Monitoring and testing
- 09:39-10:18 | Data analytics
- 10:18-10:57 | Speak-up channels
- 10:57-11:00 | Investigations
- 11:00-11:30 | Coffee break
- 11:30-12:05 | Investigations (continued)
- 12:05-12:43 | Remediation
- 12:43-14:00 | Case study: Regulatory enforcement

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Day 5 - Reporting and Effectiveness

- 09:00-09:45 | Board reporting
- 09:45-10:30 | KPIs
- 10:30-11:00 | Programme evaluation
- 11:00-11:30 | Coffee break
- 11:30-11:45 | Programme evaluation (continued)
- 11:45-12:30 | Continuous improvement
- 12:30-13:15 | Regulator relationships
- 13:15-14:00 | Capstone: Compliance programme plan

Seminar dates

Available seminar dates

Live dates and pricing for Compliance Management Professional generated from the course details page.

Date	Location	Format	Fee
16 - 20 November 2026	Barcelona	Classroom	€2,815
7 - 11 December 2026	Munich	Classroom	€3,225
11 - 15 January 2027	Barcelona	Classroom	€2,815
8 - 12 February 2027	Amsterdam	Classroom	€2,830
8 - 12 March 2027	London	Classroom	€2,315
12 - 16 April 2027	Munich	Classroom	€3,225
10 - 14 May 2027	London	Classroom	€2,315
14 - 18 June 2027	Barcelona	Classroom	€2,815
12 - 16 July 2027	Munich	Classroom	€3,225
9 - 13 August 2027	Barcelona	Classroom	€2,815

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13 - 17 September 2027	Amsterdam	Classroom	€2,830
11 - 15 October 2027	London	Classroom	€2,315
8 - 12 November 2027	Munich	Classroom	€3,225
13 - 17 December 2027	London	Classroom	€2,315
16 - 20 November 2026	Online / Live	Online / Live	€1,815
7 - 11 December 2026	Online / Live	Online / Live	€1,815
11 - 15 January 2027	Online / Live	Online / Live	€1,815
8 - 12 February 2027	Online / Live	Online / Live	€1,815
8 - 12 March 2027	Online / Live	Online / Live	€1,815
12 - 16 April 2027	Online / Live	Online / Live	€1,815

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14 - 18 June 2027	Online / Live	Online / Live	€1,815
12 - 16 July 2027	Online / Live	Online / Live	€1,815
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